Standard Practice for Calculating Formulation Physical Constants of Paints and Coatings¹

This standard is issued under the fixed designation D 5201; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This practice describes procedures commonly used in the paint industry to formulate paints and coating materials. It describes procedures for calculating formulation values for weight solids, volume solids, solvent content, volatile organic compound (VOC) content, and density of liquid paints and coatings. These values are calculated from basic formulation data. These calculations may be related to either as-supplied (unreduced) or as-applied (reduced) coating materials, including multicomponent types.

1.2 These calculated, formulation-based values may or may not be acceptable for VOC regulatory purposes, depending on the specific wording of the applicable regulation. Some regulations require analysis of the coating. Some rules allow the use of formulation data, however, some adjustments may be needed to the values calculated in this practice before they are used for regulatory purposes (see 4.3).

Note 1—Where required, use EPA Reference Method 24 and ASTM standards listed, or state regulatory requirements (see EPA 450/3-88-018 and 40 CFR 51.100).

- 1.3 For purposes of this practice, it is assumed that solvents evaporate and the materials that remain are identified as coating solids. For example, solvents are normally used to adjust viscosity for application and appearance of the coating. Other liquid materials, such as plasticizers, that are expected to be retained in the dried film to affect the final physical properties should be classified as part of the coating solids. Standards such as Test Methods D 2369, D 4758 and Guide D 2832 may be used to determine volatile or nonvolatile content of specific components. For purposes of this practice it is assumed that the blended formulation behaves as an *ideal* solution with no volume change on mixing (see 6.2).
- 1.4 Volatile by-products of cross-linking reactions (cure volatiles) are not considered in these calculations since the object of this practice is to define paint physical constants based on formulation information. Variations in raw materials and variations in the production processes are not taken into account in these calculations.

¹ This practice is under the jurisdiction of ASTM Committee D01 on Paint and Related Coatings, Materials, and Applications and is the direct responsibility of Subcommittee D01.24 on Physical Properties of Liquid Paints and Paint Materials.

Current edition approved March 10, 2001. Published May 2001. Originally published as D 5201 - 91. Last previous edition D 5201 - 97.

- 1.5 The values shown in this method are stated in English inch-pound units as commonly used in the United States. However, they may be readily converted into SI units, if required by the user (for example, see Note 3).
- 1.6 The values stated in inch-pound units are to be regarded as the standard for this specific practice. The values given in parentheses are for information only.

2. Referenced Documents

- 2.1 ASTM Standards:
- D 153 Test Methods for Specific Gravity of Pigments²
- D 1475 Test Method For Density of Liquid Coatings, Inks, and Related Products³
- D 2369 Test Methods for Volatile Content of Coatings³
- D 2832 Guide for Determining Volatile and Nonvolatile Content of Paint and Related Coatings³
- D 3960 Practice for Determining Volatile Organic Compound (VOC) Content of Paints and Related Coatings³
- D 4758 Test Method for Nonvolatile Content of Latexes²
- E 29 Practice for Using Significant Digits in Test Data to Determine Conformance with Specifications⁴
- 2.2 U. S. Environmental Protection Agency Standards:
- EPA 450/3-88-018, U.S. Environmental Protection Agency Protocol for Determining the Daily Volatile Organic Compound Emission Rate of Automobile and Light Duty Truck Topcoat Operations⁵
- EPA Federal Reference Method 24 —Determination of Volatile Matter Content, Water Content, Density Volume Solids, and Weight Solids, of Surface Coatings⁶
- Federal Register 40 CFR 51·100 (par·s) Def. of VOC and "Exempt Compounds"

3. Terminology

- 3.1 Definitions of Terms Specific to This Standard:
- 3.1.1 formula density—(see Test Method D 1475), the mass (weight in vacuum) of a unit volume of material at any given

² Annual Book of ASTM Standards, Vol 06.03.

³ Annual Book of ASTM Standards, Vol 06.01.

⁴ Annual Book of ASTM Standards, Vol 14.02.

⁵ Available from Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. Refer to EPA 450/3-88-018 dated December 1988. This protocol makes reference to the paint formulation physical constants for VOC and volume solids content.

⁶ Available from Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

specified temperature.

- 3.1.1.1 *Discussion*—In this practice, density is expressed in pounds per U.S. gallon (lb/gal) since this is commonly used in the coatings industry. Where dry materials are concerned, actual density (not bulk density) should be determined analytically or obtained from supplier information. Use Test Methods D 153 where applicable.
- 3.1.2 formula percent volume solids content— the calculated volume of nonvolatile material in a formula divided by the total volume of the paint material, times 100 %.
- 3.1.3 formula percent weight solids content—the calculated weight of nonvolatile material in a formula divided by the total weight of the coating material, times 100 %.
- 3.1.4 formula solvent content—the calculated weight of the solvents in a specific volume of paint (such as pounds of solvent per gallon of paint), which is determined by totalling all solvents present.
- 3.1.4.1 Discussion—Volatile by-products of cross-linking reactions (cure volatiles) are *not* included in the formula solvent content.
- 3.1.4.2 Discussion—Some government regulations may require that water be excluded when considering formula solvent content.
- 3.1.5 formula solvent density—the calculated density of the combined solvent composition.
- 3.1.6 formula VOC content—calculated amount based upon total formula solvent content, (such as pounds of solvent per gallon of paint) exclusive of water or solvents that are exempted by government regulations not VOC. This is a theoretical value that may be an approximation of the VOC content that would be obtained by following the regulatory practice or may require further adjustment.
- 3.1.6.1 Discussion—Solvent and VOC are not equivalent terms. See 40 CFR 51·100 (pars) for the current EPA definition of volatile organic compound (VOC) and description of compounds that are exempt. Ammonia and water are exempt by definition, not VOC, as they are not organic compounds.

4. Significance and Use

- 4.1 Physical constants of paints and coatings are required in all aspects of their formulation, manufacture and use. This practice demonstrates standard methods agreed upon for calculating formulation values for some of these physical constants. The calculations are the same for either metric or inch/pound units.
- 4.2 These formula values may not be used to replace measured values required by government regulations unless specifically stated in the governing documents.
- 4.3 Some regulations allow the coating physical constants to be determined using formulation data instead of analytical data. This formulation data may not yield the same results as the required analytical method, which could be performed on a sample from any production batch of the coating. In these cases, the user may wish to compare formulation data to analytical data and develop a factor that adjusts for variability of raw materials, variability of production batches, cure volatiles, and variability of the analytical methods.

5. Calculations

- 5.1 Calculated values should be rounded to the appropriate number of significant digits in accordance with Practice E 29 at 7.
 - 5.2 Formula Density (weight per unit volume):
- 5.2.1 The formula density (D_f) can be calculated from the total weight (W_f) and total volume (V_f) of the formulation. The formulation volume can be calculated from the weight and density of each ingredient as given by the following equation:

$$D_{\rm f} = \frac{W_{\rm f}}{V_{\rm f}} = \frac{W_1 + W_2 + \dots W_{\rm n}}{W_1/D_1 + W_2/D_2 + \dots W_{\rm n}/D_{\rm n}} = \frac{\sum W_{\rm i}}{\sum W_{\rm i}/D_{\rm i}}$$
(1)

where:

= number of items in the formulation,

= formula density, lb/gal (g/L),

 $\dot{W_{\rm f}}$ = total weight of formula, lb (g),

= total volume of formula, gal (L),

= weight of ingredient, lb (g), and

= density of ingredient.

5.2.1.1 An example would be as follows where the weight (W) and density (D) of each ingredient are known:

Ingredient	Weight	Density	Volume
	W, (lb)	D, (lb/gal)	V, (gal)
1	81.50	7.74	10.530
2	6.10	7.90	0.772
3	0.40	8.72	0.046
4	12.00	7.65	1.569
Formula	100.00	D _ε	12.917

Therefore, formula density,
$$D_{\rm f}=\frac{100}{12.917}=7.74$$
 lb/gal.

5.2.2 If the density of any one of the ingredients in a product is unknown, it can be calculated as long as the density of the paint formulation and the other ingredients in that formulation are known. This situation may occur with a resin solution where the density and volume of the polymer solids are unknown, as in the following example:

Ingredient Polymer solids Solvent A Solvent B	Weight	Density	Volume
	W, (lb)	D, (lb/gal)	V, (gal)
	50.00	D _{ps}	V _{ps}
	25.00	6.95	3.60
	25.00	7.18	3.48
Formula	100.00	7.18 7.50	3.48 V ₄

where:

where:
$$V_{\rm f}$$
 = volume of total formula, gal = $\frac{100.00}{7.50}$ = 13.3 gal, $V_{\rm ps}$ = volume of polymer solids, solids, gal = 13.33 - (3.60 + 3.48) = 6.25 gal, and density of polymer solids, lb/gal = $\frac{50.00}{6.25}$ = 8.00 lb/gal.

- 5.3 Formula Solvent (Volatile) Density:
- 5.3.1 The density of the solvent (volatile) portion can be calculated using the following equation:

$$D_{\rm s} = \frac{V_1 D_1 + V_2 D_2 + V_3 D_3 + \dots V_n D_n}{V_1 + V_2 + V_3 + \dots V_n} = \frac{\sum V_i D_i}{\sum V_i}$$
(2)

where:

 D_s = density of solid portion, lb/gal (g/L),

= volume of solvent, gal (L),

= density of individual solvent, lb/gal (g/L), and

n = number of items in the formulation.

5.4 Formula Weight Percent Solids (Nonvolatile):

5.4.1 Calculate percent of solids by weight as follows:

$$S_{\rm w} = 100 \times \frac{\text{wt of solids}}{\text{total wt of coating}}$$
 (3)

where $S_{\rm w}$ = weight percent of solids (nonvolatile), %.

5.5 Formula Volume Percent Solids (Nonvolatile):

- 5.5.1 Generally the volume solids content is calculated by subtracting the volume of all solvent from the total volume, since the volume of the solvent portion is usually more readily available than the volume of solid materials. Calculate percent of solids by volume using one of the following equations, depending on available information.
- 5.5.1.1 Calculate volume percent solids by subtracting the volume of solvents from the total volume of paint, as follows:

$$S_{\rm v} = 100 \times \frac{(total\ vol\ of\ paint - vol\ of\ solvents)}{(total\ vol\ of\ paint)} \tag{4}$$

where:

 S_{v} = volume percent of solids (nonvolatile)

or

5.5.2 Calculate volume percent solids directly if the volume of solids and volume of paint are known:

$$S_{\rm V} = 100 \times \text{(volume of solids)/(total volume of paint)}$$
 (5)

where:

 S_{v} = volume percent of solids (nonvolatile)

or,

- 5.5.3 When the volume solids of each ingredient in a formulation is known, the volume solids of the formulation can be calculated by totalling the volumes and volume solids of each ingredient as follows:
- 5.5.3.1 Calculate the volume (V_i) of each ingredient from the formula weight (W_i) of each ingredient, divided by its density (D_i) :

$$V_{i} = W_{i}/D_{i} \tag{6}$$

5.5.3.2 Determine the total volume (V_f) of the formula from the sum of the volumes of the individual ingredients:

$$V_{\rm f} = [\Sigma] V_{\rm i} \tag{7}$$

5.5.3.3 Formula volume solids content ($S_{\rm vf}$) is calculated in the following manner. The volume of each ingredient ($V_{\rm i}$) is multiplied by the volume percent solids of that ingredient ($S_{\rm vi}$) and the sum of these volume solids is divided by the total volume of the formula to give formula volume solids. This is shown symbolically as follows:

$$S_{\rm vf} = ([\Sigma](S_{\rm vi} \times V_{\rm i}))/(V_{\rm f}) \tag{8}$$

5.5.3.4 An example would be as follows:

Ingredient	Weight W, (lb.)	Density D, (lb./gal)	Total Volume	Volume Solids, %	Volume of Solids
1	7.35	8.00	0.92	31.0	0.29
2	22.41	7.96	2.82	21.5	0.61
3	52.85	8.24	6.41	23.8	1.53
4	5.98	7.16	0.84	0.0	
5	6.13	9.27	0.66	100.00	0.66
6	0.28	7.17	0.04	0.0	
7	5.00	8.14	0.61	33.6	0.20
	100.00		12.30		3.29

$$S_V$$
, % = 100 × $\frac{\text{(total vol of solids, gal)}}{\text{(total vol of paint, gal)}}$ (9)
= (3.29)/(12.30) × 100 = 26.7 %

where:

 $S_{\rm v}$ = volume percent of solids (nonvolatile).

5.6 Formula Total Solvent Content and VOC Content (see 3.6):

5.6.1 If all of the solvent in the formulation is considered to be VOC for regulatory purposes, the VOC content may be expressed as pounds of VOC per gallon (grams per litre) of paint material, calculated as follows:

VOC (lb/gal),

$$= \frac{((100 - \text{wt \% solids}) \times \text{density of coating})}{(100)} \quad (10)$$

5.6.2 If water is present in the paint material formulation, it must be subtracted from the total volatile portion in determining the formulation VOC content.

VOC (lbs/gal) (less water)

$$= \frac{\text{(wt of volatiles } - \text{ wt of water)}}{\text{(vol of paint } - \text{ vol of water)}}$$
 (11)

$$= \frac{((100 - \text{wt \% of solids} - \text{wt \% of water) formula density})}{\left(100 - \frac{(\text{wt \% of water) formula density})}{(\text{water density})}\right)}$$
(12)

where density equals pounds/gallon (grams per litre).

Note 2—Deduction for other exempt non-VOC volatile ingredients as defined in local ordinances may be treated in a manner similar to water as just illustrated and in Practice D 3960.

Note 3—Solvent content and VOC content values may be converted from pounds per (U.S.) gallon (lb/gal) to grams per litre (g/L) by multiplying by 119.84.

5.7 Paints Reduced for Application:

- 5.7.1 The calculations and examples shown in 5.2-5.6 are for as-supplied materials intended for use without further reduction. Similar calculations can be used for determining the formulation density, percent weight solids content, percent volume solids content, and solvent (VOC) content of materials that have been reduced for application. It is only necessary to know the amount of reduction (volume or weight) and the density of the reducing solvent. The reducing solvent then becomes an additional ingredient in the paint formulation.
- 5.7.2 Each of the following examples is based on the equation:

$$D = \frac{W}{V} \tag{13}$$

where:

D = density,W = weight, and

V = volume.

5.7.2.1 Density of Reduced Material Knowing Percent Reduction by Volume:

(1) The paint material described in the example in 5.2.1.1 is reduced 20 % by volume with reducing thinner having a density of 7.20 lb/gal as follows:



	Volume,	Density,	Weight,
	gal	lb/gal	lb
Unreduced material	1.00	7.74	7.74
Reducing thinner	0.20	7.20	W

where (reducing thinner), weight = volume \times density,

$$W = 0.20 \times 7.20 = 1.44 \text{ lb.} \tag{14}$$

(2) The density of the reduced material is obtained by adding the volume and weight of each component, and dividing the total weight by the total volume:

	Volume, gal	Weight, lb
Unreduced material	1.00	7.74
Reducing thinner	0.20	1.44
	1.20	9.18

where density of reduced paint $=\frac{9.18}{1.20} = 7.65 \text{ lb/gal.}$

5.7.2.2 Formula Volume Solids of Reduced Paint Knowing Percent Reduction and Unreduced Volume Solids:

Using the information from the previous example and assuming an unreduced volume solids of 50 % and a volume reduction of 20 % thinner, the reduced formula volume solids content (S_{vv}) is calculated as follows:

	Volume, gal	Solids, gal
Unreduced material	1.00	0.50
Reducing thinner	0.20	0.00
	1.20	0.50

where formula volume solids, reduced = $\frac{(0.50)}{(1.20)}$ = 0.42 gal, or S_{vr} = 42.0 %.

6. Precision and Bias

- 6.1 No statement is made about either the precision or bias of this practice for calculating formulation physical constants since the results are obtained strictly by mathematical calculations and will be related to the accuracy of the data used and conformance to the prescribed calculations.
- 6.2 A bias toward slightly smaller volumes and higher densities may result from non-ideal solution behavior (see 1.3, (2)).

7. Keywords

7.1 density; formulation; physical constants; solids content; volatile organic compound; (VOC)

The American Society for Testing and Materials takes no position respecting the validity of any patent rights asserted in connection with any item mentioned in this standard. Users of this standard are expressly advised that determination of the validity of any such patent rights, and the risk of infringement of such rights, are entirely their own responsibility.

This standard is subject to revision at any time by the responsible technical committee and must be reviewed every five years and if not revised, either reapproved or withdrawn. Your comments are invited either for revision of this standard or for additional standards and should be addressed to ASTM Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee, which you may attend. If you feel that your comments have not received a fair hearing you should make your views known to the ASTM Committee on Standards, at the address shown below.

This standard is copyrighted by ASTM, 100 Barr Harbor Drive, PO Box C700, West Conshohocken, PA 19428-2959, United States. Individual reprints (single or multiple copies) of this standard may be obtained by contacting ASTM at the above address or at 610-832-9585 (phone), 610-832-9555 (fax), or service@astm.org (e-mail); or through the ASTM website (www.astm.org).